

**MAVERICK COUNTY, TEXAS
CORRECTIVE ACTION MANAGEMENT LETTER COMMENTS
FOR THE YEAR ENDED SEPTEMBER 30, 2013**

Corrective Action:

Investment Policy Comment – 2012 & 2013

The County adopted its investment policy in 1997 and assigned the County Treasurer as its investment officer. Due diligence was not performed by the auditors in researching this area.

The County Treasurer has been informed in writing during the years of his duties in reporting to Commissioners Court during his monthly reports. He has not modified his reports to comply. See **Attachment 8**

Corrective Action:

Single Audit – Reporting (Stone Garden)

This department (Stone Garden) is required to submit a quarterly status report to the State Administrative Agency via SPARS, the State Preparedness Assessment and Reporting Service. We have complied in submitting these reports in a prompt manner, however, our records matched what was available as a record online, which dates back the past four quarters.

We have since requested and received copies of previously filed quarterly reports and have established the requirement to print out copies of quarterly status reports for our in-house records at the time of submission.